COMMUNITY
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Audit Best Practice Guides

We have been sharing with you best practice guides from recent brokerage audits to provide practical information for your business to prevent compliance and business failures. We hope that these guides have helped you improve, upgrade and measure your business progress.

If you have missed our best practice guides, you will be able to access them below:

1) Missing Essential Documents
2) Filing
3) Needs Analysis Forms
4) Providing the correct PDS

If you have any questions, please don't hesitate to contact Debbi Thorne, National Capabilities Manager at debbi.thorne@cbnet.com.au